

360 Trading Networks, Inc. 521 Fifth Avenue, 38th Floor New York, NY 10175

Phone: +1 212 776 2900 Fax: +1 212 776 2902 E-Mail: info@360t.com

www.360t.com

360 Trading Networks, Inc. · 521 Fifth Avenue, 38th Floor · New York, NY 10175

November 12, 2020

Submitted via CFTC Portal

Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, N.W. Washington, D.C. 20581

Re: Regulation 40.6(a) Rule Certification

360 Trading Networks Inc. Rulebook Amendments

Ladies and Gentlemen:

Pursuant to Section 5c(c) of the Commodity Exchange Act (the "Act") and Section 40.6(a) of the Regulations of the Commodity Futures Trading Commission (the "Commission"), 360 Trading Networks Inc. ("360T") hereby files the attached amendments to its Rulebook. The Rulebook has been amended in response to 360T functionality development. The amended Rulebook will become effective on November 27th, 2020.

A concise explanation and analysis of the amendments to the Rulebook and their compliance with applicable provisions of the Act, including Core Principles, and the Commission's Regulations thereunder, is attached hereto as <u>Exhibit A.</u> A clean copy of the amended Rulebook is attached hereto as <u>Exhibit B</u>, and a copy of the Rulebook marked to show changes against the version submitted to the Commission on May 1st, 2020 is attached hereto as <u>Exhibit C</u>.

360T hereby certifies that: (i) the amended Rulebook complies with the Act and the Commission's regulations thereunder; and (ii) a notice and copy of this submission is being concurrently posted on 360T's web site. There were no substantive opposing views to the amendments to the Rulebook.

Please contact the undersigned at 44 020 7862 7509 or martin.oakley@360t.com if you have any questions or you would otherwise like to discuss this further.

Sincerely,

/s/ Martin Oakley Chief Compliance Officer

Exhibit A

Summary: The 360T Rulebook has been amended in response to regulatory development. A clean copy of the amended Rulebook is attached hereto as Exhibit B; a copy of the Rulebook marked to show changes against the version submitted to the Commission on May 1, 2020 is attached hereto as Exhibit C. Minor changes have been made to the User Guide for Market Takers and User Guide for Market Maker, they are attached hereto as Exhibit E and a copy of the User Guides marked to show changes are attached hereto as Exhibit F and Exhibit G respectively. No changes have been made to the User Guide for 360TGTX but for reference it is attached as Exhibit H.

Capitalized terms used but not defined herein have the meanings assigned to them in the Rulebook.

Amendment	Explanation
Cover Page	Update
Revised effective date	
Table of Contents	Update
Updated Rule and page numbers as a new rule was added.	
<u>Rule 527</u>	CFTC Rule 37.9(d)
Added Rule 527 (Post-Trade Name Give-Up) to clearly indicate that it is a violation of the Rulebook for a person to disclose the identity of a counterparty to a swap executed anonymously and intended to be cleared.	
<u>User Guide Market Taker Cover Page</u>	Update
Updated date	
<u>User Guide Market Taker Section 7</u>	Update
Updated contact information for Asia Pacific	
User Guide Market Maker Cover Page	Update
Updated date	
<u>User Guide Market Maker Section 7</u>	Update
Updated contact information for Asia Pacific	